

LANKA SECURITIES (PVT) LIMITED
REQUEST FOR INTERNET TRADING FACILITY

Office use

| | |
|----------|--|
| Ref. No: | |
| IA Code: | |
| User ID: | |

CDS account No :

Name of the Account :

Telephone/Mobile: Office: Personal:

E-mail address: Office: Personal:

I/We hereby:

1. Request you to provide internet trading facility to operate my/our CDS Account
2. Undertake to abide by all guidelines relating to the internet trading including without limitation to the Rules and Regulations of the CSE/CDS now in force or from time to time amended, revised or supplemented.
3. Agree to use your internet trading facility solely for the purpose of operating my/our CDS Account.
4. Declare and agree that my/our request herein subject to your approval and you are absolutely entitled to reject my/our request or impose such conditions or restrictions as you may deem fit without giving any reasons thereof.
5. Declare and agree that you may at any time and at your absolute discretion suspend or close my/our internet trading facility after giving reason thereto in writing within one week of such suspension.

.....
Account Holder

.....
Date

Third party authorization

*Delete accordingly

I/We hereby authorize Mr/Mrs(joint holder / beneficial owner / spouse / fund manager) bearing ID no..... to operate my/our CDS account and agree and indemnify you at all times against losses, claims, damages and or expenses which may be incurred or sustain by you in consequence of or incidental to the transactions of my/our CDS account.

Important

1. The third party authorization will be applicable only in the following instances.
 - a) In respect of joint CDS Accounts with the consent of the respective joint account holder/s where the consent is renewed annually.
 - b) In respect of CDS Accounts where the beneficial owner would be the same (Eg: clients individual CDS Account and a company CDS Account in which the Client is the beneficial owner).
 - c) In respect of CDS Account of the spouse with the consent of such spouse where the consent is renewed annually.
 - d) In respect of Fund Managers of Unit Trusts Managing Companies and Investment Management Companies registered with the SEC managing either more than one fund or investor accounts.
2. Lanka Securities (Pvt) Limited will not be liable for any unauthorized use of the password by third parties or for any unauthorized order or trade executed via internet trading facility and for any accidental and/ or erroneously executed trades via internet trading facility.
3. All the trades via internet trading facility shall be subject to Stockbroker Rules of the Colombo Stock Exchange and the Rules of the Central Depository Systems.

.....
Account Holder

.....
User

.....
Date

Office use

IA Signature: **Checked By:** **Approved by:**

Processed by:

Date: